| BSE LTD |  |
| :--- | :--- |
|  | ACKNOWLEDGEMENT |
| Acknowledgement No | $: 1710201604314631$ |
| Scrip Code | $: 531307$ |
| Entity Name | : S R K INDUSTRIES LTD |
| Compliance Type | $:$ Regulation 31 - Shareholding pattern |
| Quarter / Period | $:$ 30/09/2016 |
| Mode | $:$ E-Filing |


| General information about company |  |
| :--- | :--- |
| Scrip code | 531307 |
| Name of the company | S R K INDUSTRIES LTD |
| Whether company is SME | No |
| Class of Security | Equity Shares |
| Type of report | Quarterly |
| Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment) | Regulation 31 (1) (b) |
| Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date |  |
| Shareholding pattern filed under |  |


| Sr. No. | Particular | Yes/No |
| :--- | :--- | :--- |
| 1 | Whether the Listed Entity has issued any partly paid up shares? | No |
| 2 | Whether the Listed Entity has issued any Convertible Securities ? | No |
| 3 | Whether the Listed Entity has issued any Warrants ? | No |
| 4 | Whether the Listed Entity has any shares against which depository receipts are issued? | No |
| 5 | Whether the Listed Entity has any shares in locked-in? | No |
| 7 | Whether company has equity shares with differential voting rights? | No |

Table I - Summary Statement holding of specified securities

| Category <br> (I) | Category of shareholder (II) | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. <br> Of <br> Partly <br> paid- <br> up <br> equity <br> shares <br> held <br> (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. <br> shares <br> held $\begin{aligned} & (\mathrm{VII})= \\ & (\mathrm{IV})+(\mathrm{V}) \\ & +(\mathrm{VI}) \end{aligned}$ | Shareholding as a \% of total no. of shares (calculated as per SCRR, 1957) (VIII) As a \% of ( $\mathrm{A}+\mathrm{B}+\mathrm{C} 2$ ) | Number of Voting Rights held in each class of securities (IX) |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  |  |  |  |  | No of Voting (XIV) Rights |  |  | Total as a \% of $(\mathrm{A}+\mathrm{B}+\mathrm{C})$ |
|  |  |  |  |  |  |  |  | Class eg: X | Class eg:y | Total |  |
| (A) | Promoter <br>  <br> Promoter Group | 6 | 20647984 |  |  | 20647984 | 26.32 | 20647984 |  | 20647984 | 26.32 |
| (B) | Public | 3195 | 57805396 |  |  | 57805396 | 73.68 | 57805396 |  | 57805396 | 73.68 |
| (C) | Non <br> Promoter- <br> Non Public |  |  |  |  |  |  |  |  |  |  |
| (C1) | Shares underlying DRs |  |  |  |  |  |  |  |  |  |  |
| (C2) | Shares held by <br> Employee <br> Trusts |  |  |  |  |  |  |  |  |  |  |
|  | Total | 3201 | 78453380 |  |  | 78453380 |  | 78453380 |  | 78453380 | 100 |

Table I - Summary Statement holding of specified securities

| Category <br> (I) | Category of shareholder (II) | No. Of Shares Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of <br> Shares <br> Underlying <br> Outstanding <br> convertible <br> securities <br> and No. Of <br> Warrants <br> (Xi) (a) | Shareholding, as a \% assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a \% of ( $\mathrm{A}+\mathrm{B}+\mathrm{C} 2$ ) | Number of Locked in shares (XII) |  | Number of Shares pledged or otherwise encumbered (XIII) |  | Number of equity shares held in dematerialized form (XIV) |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  |  |  | No. <br> (a) | As a \% of total Shares held (b) | No. <br> (a) | As a \% of total Shares held (b) |  |
| (A) |  <br> Promoter Group |  |  |  | 26.32 |  |  |  |  | 20647984 |
| (B) | Public |  |  |  | 73.68 |  |  |  |  | 45349853 |
| (C) | Non <br> Promoter- <br> Non Public |  |  |  |  |  |  |  |  |  |
| (C1) | Shares underlying DRs |  |  |  |  |  |  |  |  |  |
| (C2) | Shares held by Employee Trusts |  |  |  |  |  |  |  |  |  |
|  | Total |  |  |  |  |  |  |  |  | 65997837 |

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

| Sr. | Category \& Name of the Shareholders (I) | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paidup equity shares held (V) | No. Of <br> shares <br> underlying <br> Depository <br> Receipts <br> (VI) | Total nos. <br> shares <br> held <br> (VII) $=$ <br> (IV)+(V) <br> + (VI) | Shareholding as a \% of total no. of shares (calculated as per SCRR, 1957) (VIII) As a \% of ( $\mathrm{A}+\mathrm{B}+\mathrm{C} 2$ ) | Number of Voting Rights held in each class of securities (IX) |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  |  |  |  |  | No of Voting (XIV) Rights |  |  | Total as a \% of Total Voting rights |
|  |  |  |  |  |  |  |  | Class eg: X | $\begin{aligned} & \text { Class } \\ & \text { eg:y } \end{aligned}$ | Total |  |
| A | Table II - Statement showing shareholding pattern of the Promoter and Promoter Group |  |  |  |  |  |  |  |  |  |  |
| (1) | Indian |  |  |  |  |  |  |  |  |  |  |
| (a) | Individuals/Hindu undivided Family | 4 | 10367164 |  |  | 10367164 | 13.21 | 10367164 |  | 10367164 | 13.21 |
| (d) | Any Other (specify) | 2 | 10280820 |  |  | 10280820 | 13.1 | 10280820 |  | 10280820 | 13.1 |
| Sub-Total $(\mathrm{A})(1)$ |  | 6 | 20647984 |  |  | 20647984 | 26.32 | 20647984 |  | 20647984 | 26.32 |
| (2) | Foreign |  |  |  |  |  |  |  |  |  |  |
| Total |  |  |  |  |  |  |  |  |  |  |  |
| Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A) (2) |  | 6 | 20647984 |  |  | 20647984 | 26.32 | 20647984 |  | 20647984 | 26.32 |
| B | Table III - Statement showing shareholding pattern of the Public shareholder |  |  |  |  |  |  |  |  |  |  |
| (1) | Institutions |  |  |  |  |  |  |  |  |  |  |
| (e) | Foreign Portfolio Investors | 2 | 141500 |  |  | 141500 | 0.18 | 141500 |  | 141500 | 0.18 |
| Sub-Total <br> (B)(1) |  | 2 | 141500 |  |  | 141500 | 0.18 | 141500 |  | 141500 | 0.18 |
| ( 3 ) | Non-institutions |  |  |  |  |  |  |  |  |  |  |
| (a(i)) | Individuals i.Individual shareholders holding nominal share capital up to Rs. 2 lakhs. | 2823 | 2596165 |  |  | 2596165 | 3.31 | 2596165 |  | 2596165 | 3.31 |
| (a(ii)) | Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. | 62 | 8254445 |  |  | 8254445 | 10.52 | 8254445 |  | 8254445 | 10.52 |
| (e) | Any Other (specify) | 308 | 46813286 |  |  | 46813286 | 59.67 | 46813286 |  | 46813286 | 59.67 |
| Sub-Total <br> (B)(3) |  | 3193 | 57663896 |  |  | 57663896 | 73.5 | 57663896 |  | 57663896 | 73.5 |
| Total Public Shareholding (B) $=(\mathrm{B})(1)^{+}$ (B)(2)+(B) (3) |  | 3195 | 57805396 |  |  | 57805396 | 73.68 | 57805396 |  | 57805396 | 73.68 |
| C | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder |  |  |  |  |  |  |  |  |  |  |
| $\begin{aligned} & \text { Total } \\ & (\mathrm{A}+\mathrm{B}+\mathrm{C} 2) \end{aligned}$ |  | 3201 | 78453380 |  |  | 78453380 | 100 | 78453380 |  | 78453380 | 100 |
| Total $(\mathrm{A}+\mathrm{B}+\mathrm{C})$ |  | 3201 | 78453380 |  |  | 78453380 |  | 78453380 |  | 78453380 | 100 |

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

| Sr. | No. Of Shares Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | Shareholding, as a \% assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a \% of ( $\mathrm{A}+\mathrm{B}+\mathrm{C} 2$ ) | Number of Locked in shares (XII) |  | Number of Shares pledged or otherwise encumbered (XIII) |  | Number of equity shares held in dematerialized form (XIV) |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  |  | No. <br> (a) | As a \% of total Shares held <br> (b) | No. <br> (a) | As a \% of total Shares held <br> (b) |  |
| A | Table II - Statement showing shareholding pattern of the Promoter and Promoter Group |  |  |  |  |  |  |  |  |
| (1) | Indian |  |  |  |  |  |  |  |  |
| (a) |  |  |  | 13.21 |  |  |  |  | 10367164 |
| (d) |  |  |  | 13.1 |  |  |  |  | 10280820 |
| Sub-Total (A) <br> (1) |  |  |  | 26.32 |  |  |  |  | 20647984 |
| (2) | Foreign |  |  |  |  |  |  |  |  |
| Total <br> Shareholding of Promoter and Promoter Group ( A )= $(\mathrm{A})(1)+(\mathrm{A})(2)$ |  |  |  | 26.32 |  |  |  |  | 20647984 |
| B | Table III - Statement showing shareholding pattern of the Public shareholder |  |  |  |  |  |  |  |  |
| (1) | Institutions |  |  |  |  |  |  |  |  |
| (e) |  |  |  | 0.18 |  |  |  |  | 141500 |
| Sub-Total (B) <br> (1) |  |  |  | 0.18 |  |  |  |  | 141500 |
| ( 3 ) | Non-institutions |  |  |  |  |  |  |  |  |
| (a(i)) |  |  |  | 3.31 |  |  |  |  | 2194367 |
| (a(ii)) |  |  |  | 10.52 |  |  |  |  | 7554445 |
| (e) |  |  |  | 59.67 |  |  |  |  | 35459541 |
| Sub-Total (B) <br> (3) |  |  |  | 73.5 |  |  |  |  | 45208353 |
| Total Public Shareholding $\begin{aligned} & (\mathrm{B})=(\mathrm{B})(1)^{+} \\ & (\mathrm{B})(2)+(\mathrm{B})(3) \end{aligned}$ |  |  |  | 73.68 |  |  |  |  | 45349853 |
| C | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder |  |  |  |  |  |  |  |  |
| Total $(\mathrm{A}+\mathrm{B}+\mathrm{C} 2)$ |  |  |  | 100 |  |  |  |  | 65997837 |
| Total $(\mathrm{A}+\mathrm{B}+\mathrm{C})$ |  |  |  |  |  |  |  |  | 65997837 |


| Individuals/Hindu undivided Family |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
| Searial No. | 1 | 2 | 3 | 4 |  |
| Name of the Shareholders (I) | RAKESHCHAND M JAIN | REKHA JAIN | SANKET RAKESH JAIN | SORABH RAKESH JAIN | Click here to go back |
| PAN (II) | AAEPJ5606P | AEWPJ6331H | ANKPJ8852A | AHUPJ0555C | Total |
| No. of fully paid up equity shares held (IV) | 3432112 | 3476378 | 1682484 | 1776190 | 10367164 |
| No. Of Partly paidup equity shares held (V) |  |  |  |  |  |
| No. Of shares underlying Depository Receipts (VI) |  |  |  |  |  |
| Total nos. shares held (VII) = (IV)+ (V) + (VI) | 3432112 | 3476378 | 1682484 | 1776190 | 10367164 |
| Shareholding as a \% of total no. of shares (calculated as per SCRR, 1957) (VIII) As a \% of ( $\mathrm{A}+\mathrm{B}+\mathrm{C} 2$ ) | 4.37 | 4.43 | 2.14 | 2.26 | 13.21 |
| Number of Voting Rights held in each class of securities (IX) |  |  |  |  |  |
| Class eg:X | 3432112 | 3476378 | 1682484 | 1776190 | 10367164 |
| Class eg:y |  |  |  |  |  |
| Total | 3432112 | 3476378 | 1682484 | 1776190 | 10367164 |
| Total as a $\%$ of Total Voting rights | 4.37 | 4.43 | 2.14 | 2.26 | 13.21 |
| No. Of Shares Underlying Outstanding convertible securities (X) |  |  |  |  |  |
| No. of Shares <br> Underlying <br> Outstanding <br> Warrants (Xi) |  |  |  |  |  |
| No. Of Shares <br> Underlying <br> Outstanding convertible securities and No. Of Warrants (Xi) <br> (a) |  |  |  |  |  |
| Shareholding, as a \% assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) $+(\mathrm{Xi})(\mathrm{a})$ As a \% of ( $\mathrm{A}+\mathrm{B}+\mathrm{C} 2$ ) | 4.37 | 4.43 | 2.14 | 2.26 | 13.21 |
| Number of Locked in shares (XII) |  |  |  |  |  |
| No. (a) |  |  |  |  |  |
| As a \% of total Shares held (b) |  |  |  |  |  |
| Number of Shares pledged or otherwise encumbered (XIII) |  |  |  |  |  |
|  |  |  |  |  |  |


| No. (a) |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
| As a \% of total Shares held (b) |  |  |  |  |  |
| Number of equity shares held in dematerialized form (XIV) | 3432112 | 3476378 | 1682484 | 1776190 | 10367164 |
| Reason for not providing PAN |  |  |  |  |  |
| Reason for not providing PAN |  |  |  |  |  |


| Any Other (specify) |  |  |  |
| :---: | :---: | :---: | :---: |
| Searial No. | 1 | 2 |  |
| Category | Bodies Corporate | Bodies Corporate | Click here to go back |
| Name of the Shareholders (I) | MAXGAIN ADVISORY PRIVATE LIMITED | ISLAND MEDIA PRIV |  |
| PAN (II) | AAHCM3972H | AAQCS3443N | Total |
| No. of the Shareholders (I) | 1 | 1 | 2 |
| No. of fully paid up equity shares held (IV) | 5132196 | 5148624 | 10280820 |
| No. Of Partly paidup equity shares held (V) |  |  |  |
| No. Of shares underlying Depository Receipts (VI) |  |  |  |
| Total nos. shares held (VII) = (IV)+ (V) + (VI) | 5132196 | 5148624 | 10280820 |
| Shareholding as a \% of total no. of shares (calculated as per SCRR, 1957) (VIII) As a $\%$ of ( $\mathrm{A}+\mathrm{B}+\mathrm{C} 2$ ) | 6.54 | 6.56 | 13.1 |
| Number of Voting Rights held in each class of securities (IX) |  |  |  |
| Class eg: X | 5132196 | 5148624 | 10280820 |
| Class eg:y |  |  |  |
| Total | 5132196 | 5148624 | 10280820 |
| Total as a \% of Total Voting rights | 6.54 | 6.56 | 13.1 |
| No. Of Shares Underlying Outstanding convertible securities (X) |  |  |  |
| No. of Shares Underlying Outstanding Warrants (Xi) |  |  |  |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) <br> (a) |  |  |  |
| Shareholding, as a \% assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) $+(\mathrm{X})$ As a \% of ( $\mathrm{A}+\mathrm{B}+\mathrm{C} 2$ ) | 6.54 | 6.56 | 13.1 |
| Number of Locked in shares (XII) |  |  |  |


| No. (a) |  |  |  |
| :---: | :---: | :---: | :---: |
| As a \% of total Shares held (b) |  |  |  |
| Number of Shares pledged or otherwise encumbered (XIII) |  |  |  |
| No. (a) |  |  |  |
| As a \% of total Shares held (b) |  |  |  |
| Number of equity shares held in dematerialized form (XIV) | 5132196 | 5148624 | 10280820 |
| Reason for not providing PAN |  |  |  |


| Any Other (specify) |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Searial No. | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| Category | Bodies Corporate | Bodies <br> Corporate | Bodies <br> Corporate | Bodies <br> Corporate | Bodies <br> Corporate | Bodies <br> Corporate | Bodies <br> Corporate |
| Category / <br> More than 1 <br> percentage | More than 1 percentage of shareholding | More than 1 percentage of shareholding | More than 1 percentage of shareholding | More than 1 percentage of shareholding | More than 1 percentage of shareholding | More than 1 percentage of shareholding | More than 1 percentage of shareholding |
| Name of the Shareholders (I) | VIKRANT CONSTRUCTIONS PRIVATE LIMIT | CHANDA <br> CAST IRON <br> INDUSTRIES <br> PRIVATE | JAYANT <br> SECURITY <br> AND <br> FINANCE <br> LTD | BHUVI <br> INFRABUILD <br> PRIVATE <br> LIMITED | SITARA <br> FINCOM <br> PVT. LTD. | RAJRATH <br> MERCHANTS <br> PRIVATE <br> LIMITED | TRISHLA <br> VYAPAAR <br> PRIVATE <br> LIMITED |
| PAN (II) | AAACV9124D | AABCC0917C | AAACJ4848G | AAFCB7415A | AAJCS0635H | AABCR6124N | AABCT2465Q |
| No. of the Shareholders <br> (I) | 1 | 1 | 1 | 1 | 1 | 1 | 1 |
| No. of fully paid up equity shares held (IV) | 1875982 | 1287305 | 1043539 | 1001828 | 966140 | 1074684 | 2619539 |
| No. Of Partly paid-up equity shares held (V) |  |  |  |  |  |  |  |
| No. Of shares underlying Depository Receipts (VI) |  |  |  |  |  |  |  |
| Total nos. shares held $\begin{aligned} & \text { (VII) }=(\mathrm{IV})+ \\ & (\mathrm{V})+(\mathrm{VI}) \end{aligned}$ | 1875982 | 1287305 | 1043539 | 1001828 | 966140 | 1074684 | 2619539 |
| Shareholding as a \% of total no. of shares (calculated as per SCRR, 1957) (VIII) As a \% of ( $\mathrm{A}+\mathrm{B}+\mathrm{C} 2$ ) | 2.39 | 1.64 | 1.33 | 1.28 | 1.23 | 1.37 | 3.34 |
| Number of Voting Rights held in each class of securities (IX) |  |  |  |  |  |  |  |
| Class eg: X | 1875982 | 1287305 | 1043539 | 1001828 | 966140 | 1074684 | 2619539 |
| Class eg:y |  |  |  |  |  |  |  |
| Total | 1875982 | 1287305 | 1043539 | 1001828 | 966140 | 1074684 | 2619539 |
| Total as a \% of Total Voting rights | 2.39 | 1.64 | 1.33 | 1.28 | 1.23 | 1.37 | 3.34 |
| No. Of Shares Underlying Outstanding convertible securities (X) |  |  |  |  |  |  |  |
| No. of Shares Underlying Outstanding Warrants (Xi) |  |  |  |  |  |  |  |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) |  |  |  |  |  |  |  |



| Any Other (specify) |  |  |
| :---: | :---: | :---: |
| Searial No. | 8 |  |
| Category | Bodies Corporate |  |
| Category / More than 1 percentage | More than 1 percentage of shareholding |  |
| Name of the Shareholders (I) | S L TRADES AND FINANCE INDIA PVT LT | Click here to go back |
| PAN (II) | AADCS5836N | Total |
| No. of the Shareholders (I) | 1 | 0 |
| No. of fully paid up equity shares held (IV) | 2525400 | 0 |
| No. Of Partly paidup equity shares held (V) |  |  |
| No. Of shares underlying Depository Receipts (VI) |  |  |
| Total nos. shares held (VII) = (IV)+ (V) + (VI) | 2525400 | 0 |
| Shareholding as a \% of total no. of shares (calculated as per SCRR, 1957) (VIII) As a \% of ( $\mathrm{A}+\mathrm{B}+\mathrm{C} 2$ ) | 3.22 | 0 |
| Number of Voting Rights held in each class of securities (IX) |  |  |
| Class eg: X | 2525400 | 0 |
| Class eg:y |  |  |
| Total | 2525400 | 0 |
| Total as a \% of Total Voting rights | 3.22 | 0 |
| No. Of Shares Underlying Outstanding convertible securities (X) |  |  |
| No. of Shares Underlying Outstanding Warrants (Xi) |  |  |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) |  |  |
| Shareholding, as a \% assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) $=$ (VII) $+(\mathrm{X})$ As a \% of ( $\mathrm{A}+\mathrm{B}+\mathrm{C} 2$ ) | 3.22 | 0 |
| Number of Locked in shares (XII) |  |  |


| No. (a) |  |  |
| :---: | :---: | :---: |
| As a \% of total Shares held (b) |  |  |
| Number of equity shares held in dematerialized form (XIV) | 2525400 | 0 |
| Reason for not providing PAN |  |  |
| Reason for not providing PAN |  |  |

Page 16 of 16

